## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

In re application of:

Ian Davies

Thomas Wicklund

Serial No.:

NA

Filed:

Herewith

Docket:

CHAP.0104

For:

STORAGE CONTROLLER AND METHOD FOR PERFORMING HOST ACCESS CONTROL IN THE HOST INTERFACE ADAPTER

Attached hereto is Form PTO-1449 listing documents believed relevant to the subject application. It is respectfully requested that the Examiner review the information disclosed herein in detail, independently evaluate each item carefully in the consideration of the pending claims and return an initialed copy of each form to the undersigned.

This disclosure statement should not be construed as a representation that a search has been made, that no other material information as defined in 37 C.F.R. § 1.56(a) exists, or as an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b) or is available as a reference under 35 U.S.C. § 102 et seq. Applicant reserves the right to swear behind or otherwise disprove the allege "prior" nature of any art cited should the facts support and the situation warrant such an action.

It is believed that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for some reason the examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each document is enclosed. Some of the documents may have markings thereon. No significance is intended to the attached to the markings.

Respectfully submitted

James W.K. uffman

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Date:

"EXPRESS MAIL" mailing label number E0 001 358 528 U Date of Deposit 1-28-04 Date of Deposit 1-28-04. I hereby certify that this paper is being deposited with the U.S. Postal Service Express Mail Post Office to Addressee Service under 37 C.F.R. §1.10 on the date shown above and is addressed to the U.S. Commissioner of Patents and Trademarks, Alexandria, VA 22313.

By: Under Section 1.

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Sheet	1	of	2	Attorney Docket Number	CHAP.0104	

	U.S. PATENT DOCUMENTS								
Examiner Initials	Cite No.1	U.S. Patent Document  Number Kind Code <sup>2</sup>		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	AA	5634111		Oeda et al	05/27/1997·				
	AB	6356979	979 Sicola et al		03/12/2002				
	AC	6425035		Hoese et al	07/23/2002				
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FOREIGN PATENT DOCUMENTS								
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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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	OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
	AA	"Security and Data Access Control with InfoSlice Storage Arrays"; obtained from <a href="http://www.technomagesinc.com/pdf/IS ACL config.pdf">http://www.technomagesinc.com/pdf/IS ACL config.pdf</a> ; accessed on 11-29-2003.					
	АВ	Phil Mills; "Enterprise Storage Server Fibre Channel Attachment Version 5.01"; December 15, 2000; Pages 1, 19-23; San Jose, CA, USA.					
	AC.	Gustavo Castets, Peter Crowhurst, Stephen Garraway, Guenter Rebmann; "IBM TotalStorage Enterprise Storage Server Model 800; obtained from <a href="http://publib-b.boulder.ibm.com/Redbooks.nsf/RedbookAbstracts/sg246424.html?Open">http://publib-b.boulder.ibm.com/Redbooks.nsf/RedbookAbstracts/sg246424.html?Open</a> ; Accessed 12-16-2003. Pages 1, 3-4,108-110, which are also document page numbers: cover, i, ii, 84-86.					
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